

GOVERNMENT ENGINEERING COLLEGE, SREEKRISHNAPURAM, PALAKKAD, KERALA

	GOVERNANCE SELF-REVIEW QUESTIONS	GRADE	SUPPORTING EVIDENCE	DEVELOPMENT PLAN	EXPECTED DELIVERY TIME FRAME
A	PRIMARY ACCOUNTABILITIES				
A1	Has the Governing Body approved the institutional strategic vision, mission development path for the institution through its long-- term business plans and annual budgets?	1	<p>Vision, Mission & Strategic Plan Vision , Mission and Strategic plan are evolved by institute faculty through extensive deliberations. Vision and Mission have been displayed on college website and at prominent places of the institute.</p> <p>These are discussed in the Governing council (GC)as part of information agenda.</p> <p>Annual budget Annual budgets are regularly presented and discussed in the Staff Council and approved. As the GC is formed only with the starting of the TEQIP project, the budget is not presented or approved by the GC, other than that for the project. The Final budget allocation for the institution is finalized in the meeting of the Principals of various Govt. Engineering Colleges with the DTE in the month of April.</p>	<p>The GC has reviewed and approved the institutional vision, mission, strategic Engineering College, Sreekrishnapuram, Palakkad.</p> <p>The annual budget for the year 2014-15 has been finalized by the DTE, and TEQIP budget has already been approved by the GC.</p> <p>The road map for R&D activities, training and other academic activities have approved by the GC as per the Institutional Development Plan.</p>	
A2	Has the Governing Body ensured the establishment and monitoring of proper, effective and efficient systems of control and accountability to ensure financial sustainability (including financial and operational controls, risk management, clear procedures for managing physical and human resources)?	2	<p>The auditors ensure that funds provided by funding bodies are used in accordance with the terms and conditions specified in any funding agreements /contracts/memorandum. Audited statements of accounts are being discussed and approved annually.</p> <p>Project Budgets are approved by the GC annually after detailed discussion.</p> <p>Finance Committee meetings are held every fortnight to review and approve the expenditures. Proceedings of finance committee are presented to the GC for approval.</p> <p>Policies on a range of systems, including treasury management, investment management, debt management and grants and contracts do not exist.</p> <p>Human resource requirements are met with the permission of chair and approved by GC in the subsequent meetings.</p>	<p>The institute is aiming at having a performance-- oriented review process for financial and human resources with inputs from the GC.</p> <p>The second performance audit is taking place during 27-29 Apr. 2015. Based on the auditor's comments, the institute will take steps to improve the financial and human resources.</p>	31 December 2015

A3	Is the Governing Body monitoring institutional performance and quality assurance arrangements? Are these benchmarked against other institutions to show that they are broadly keeping pace with the institutions they would regard as their peers or competitors to ensure and enhance institutional reputation?	1	Institution has applied to NBA for the accreditation of all UG programs. It is also pursuing ISO certification process this year. However benchmarking with national/ international institutions has not been carried out so far due to lack of availability of reliable data. Scientific way of benchmarking is to be taken up.		
A4	Has the Governing Body put in place suitable arrangements for monitoring the head of the institution's performance?	3	Formal arrangement for monitoring does not exist.	It is proposed to evolve a monitoring mechanism for assessing the performance of the Head of the Institution based on the quantifiable parameters in the strategic plan.	31 May 2015
B	OPENNESS AND TRANSPARENCY IN THE OPERATION OF GOVERNING BODIES				
B1	Does the Governing Body publish annual report on institutional performance?	2	The Annual Report of the institute is discussed in the Academic Council and GC and is published. It is also sent to the DTE.	The quality of the annual report will be improved by incorporating the assessment of teaching and research against the benchmarks	31 MAYI 2015
B2	Does the Governing Body maintain, and publicly disclose, a register of interests of members of its governing body?	1	Yes. The same will be displayed in the website.		31 May 2015
B3	Is the Governing Body conducted in an open manner, and does it provide as much information as possible to students, faculty, the general public and potential employers on all aspects of institutional activity related to academic performance, finance and management?	1	Governing body is conducted in an open manner and the proceedings of the minutes are published on the website. The sharing of relevant information with departments and faculty happens through Staff Council meetings.		
C	KEY ATTRIBUTES OF GOVERNING BODIES				
C1	Are the size, skills, competences and experiences of the Governing Body, such that it is able to carry out its primary accountabilities effectively and efficiently, and ensure the confidence of its stakeholders and constituents?	1	Yes. The Governing Body has been constituted as per the guidelines of the Government of Kerala, with eminent educationalists, Industrialists and administrators.		
C2	Are the recruitment processes and procedures for governing body members rigorous and transparent?	1	Nominations are decided by College Council, The DTE, The Higher Education Department, University of Calicut and the AICTE, based upon the merit and competencies in a transparent manner.		

C3	Does the Governing Body have actively involved independent members and is the institution free from direct political interference to ensure academic freedom and focus on long-term educational objectives?	1	Members are actively involved in furtherance of institutional objectives		
C4	Are the role and responsibilities of the Chair of the Governing body, the Head of the Institution and the Member Secretary serving the governing body clearly stated?	1	Have come through practice, not clearly stated	It is proposed to bring out a document containing the roles and responsibilities of the Chair of the GC, the Head of the Institution and the Member Secretary of the GC.	31 December 2015
C5	Does the Governing Body meet regularly? Is there clear evidence that members of the governing body attend regularly and participate actively?	1	Yes		
D	EFFECTIVENESS AND PERFORMANCE REVIEW OF THE GOVERNING BODY				
D1	Does the Governing Body keep their effectiveness under regular review and in reviewing its performance, reflect on the performance of the institution as a whole in meeting its long term strategic objectives and its short term indicators of performance/success?	2	No. There is no formal process to regularly review the performance	It is proposed to evolve a formal system for assessing	31 December 2015
D2	Does the governing body ensure that new members are properly inducted, and existing members receive opportunities for further development as deemed necessary	1	No there are no formal induction processes for the governing council yet. Since the Statutory nominations are done by the Government/its agencies, there is no scope for this. The Chairman, Industrialist and external educationalists are chosen based on their excellence in the respective fields, and do not require a formal introduction/developmental assistance. But, the institutional GC members certainly need assistance in self development, and are always guided by the other esteemed members of the GC.		
E REGULATORY COMPLIANCE SELF REVIEW QUESTIONS					

E1	Does the governing body ensure regulatory compliance and subject to this, take all final decisions on matter of fundamental concern to the institution	2	The GC makes it a point to treat these (AICTE, UGC) regulations as a minimum basic requirement and gives directions to higher provisioning of infrastructure, faculty and equipment.	Based on an annual compliance exercise as directed by the AICTE, all institutional information is uploaded into the AICTE portal and deficiencies, if any, are noted. All efforts are made to rectify them before the next such exercise.	31 Aug 2015
	Does the regulatory compliance include demonstrating compliance with the 'not to profit' purpose of education institutions	1	Yes		
E3	Have there been accreditation and/or external quality assurance by a national/professional body? If so give details: name, status and current accreditation etc.,	3	Every year mandatory disclosure is sent to AICTE and published on website. Admission as per admission rules of Kerala Government. Applied for NBA accreditation	All efforts are being made to get NBA accreditation and ISO Certification.	31 Oct 2016
	Evidences: AICTE approval letters Affiliation to University letters				